

FORM PTO-1449

## INFORMATION DISCLOSURE

## STATEMENT BY APPLICANT

(use as many sheets as necessary)



|                        |                             |
|------------------------|-----------------------------|
| Attorney Docket Number | 33758/PBH/B600              |
| Application Number     | 09/438,689                  |
| Filing Date            | November 12, 1999           |
| Applicant(s)           | Christopher M. Ward, et al. |
| Group Art Unit         | 2817                        |
| Examiner Name          | To be assigned              |

## U.S. PATENT DOCUMENTS

| EXAMINER INITIALS | DOCUMENT NUMBER | ISSUE DATE | PATENTEE        | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|-------------------|-----------------|------------|-----------------|-------|----------|----------------------------|
|                   | 4,141,209       | 2/1979     | Barnett et al.  | 58    | 23       |                            |
|                   | 4,353,039       | 10/1982    | Huntley         | 331   | 113      |                            |
|                   | 5,031,233       | 7/1991     | Regan           | 455   | 307      |                            |
|                   | 5,630,214       | 5/1997     | Yamamoto et al. | 455   | 190.1    |                            |
|                   | 5,715,012       | 2/1998     | Patel et al.    | 348   | 555      |                            |
|                   | 5,737,035       | 4/1998     | Rotzoll         | 348   | 725      |                            |
|                   | 5,757,220       | 5/1998     | Murden et al.   | 327   | 308      |                            |

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## FOREIGN PATENT DOCUMENTS

| EXAMINER INITIALS | DOCUMENT NUMBER | PUBLICATION DATE | COUNTRY OR PATENT OFFICE | CLASS | SUBCLASS | TRANSLATION |    |
|-------------------|-----------------|------------------|--------------------------|-------|----------|-------------|----|
|                   |                 |                  |                          |       |          | YES         | NO |
|                   | EP 0 133 618 A1 | 3/1985           | EPO                      |       |          |             |    |
|                   | EP 0 629 040 A1 | 12/1994          | EPO                      |       |          |             |    |
|                   | EP 0 767 532 A1 | 10/1996          | EPO                      |       |          |             |    |
|                   | UK 2 058 505 A  | 4/1981           | United Kingdom           |       |          |             |    |

## OTHER DOCUMENTS

| EXAMINER INITIALS | Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. |
|-------------------|--|
|                   | Poole, S., Surace, G., Singh, B., Dyer, N., "A CMOS Subscriber Line Audio Processing Circuit Including Adaptive Balance," IEEE International Symposium on Circuits and Systems, Finland, Espoo, Volume 2 of 3, June 7-9, 1988, pp. 1931-1934 |

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| EXAMINER SIGNATURE |  | DATE CONSIDERED | 3/20/01 |
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AJH PAS329313.1\*-2/23/01 8:11 AM

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|---|------------------------|----------------------------|
| <b>FORM PTO-1449</b><br><br><b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br><br>(use as many sheets as necessary) | Attorney Docket Number | 33758/RJP/B600             |
|   | Application Number     | 09/438,689                 |
|   | Filing Date            | November 12, 1999          |
|   | Applicant(s)           | Christopher M. Ward et al. |
|   | Group Art Unit         | 2817                       |
|   | Examiner Name          | To be assigned             |

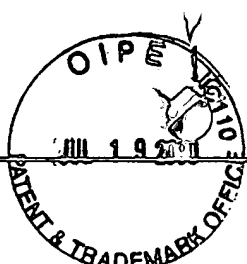
| U.S. PATENT DOCUMENTS |                 |            |          |       |          |                            |
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| EXAMINER INITIALS     | DOCUMENT NUMBER | ISSUE DATE | PATENTEE | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
| <i>[Signature]</i>    | 5,737,035       | 4/1998     | Rotzoll  | 348   | 725      |                            |
|                       |                 |            |          |       |          |                            |
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| FOREIGN PATENT DOCUMENTS |                 |                  |                          |       |          |             |    |
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|                          |                 |                  |                          |       |          | YES         | NO |
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| OTHER DOCUMENTS   |  | Technology Center 2600 |
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FORM PTO-1449

**INFORMATION DISCLOSURE  
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|                        |                            |
|------------------------|----------------------------|
| Attorney Docket Number | 33758/RJP/B600             |
| Application Number     | 09/438,689                 |
| Filing Date            | November 12, 1999          |
| Applicant(s)           | Christopher M. Ward et al. |
| Group Art Unit         | 2817                       |
| Examiner Name          | To be assigned             |

**U.S. PATENT DOCUMENTS**

| EXAMINER<br>INITIALS | DOCUMENT<br>NUMBER | ISSUE<br>DATE | PATENTEE   | CLASS | SUBCLASS | FILING DATE<br>IF APPROPRIATE |
|----------------------|--------------------|---------------|------------|-------|----------|-------------------------------|
|                      | 4,789,976          | 12/1988       | Fujishima  | 369   | 54       |                               |
|                      | 4,818,903          | 4/1989        | Kawano     | 307   | 521      |                               |
|                      | 5,031,233          | 7/1991        | Ragan      | 455   | 307      |                               |
|                      | 5,828,589          | 10/1998       | Degenhardt | 364   | 724.19   |                               |
|                      |                    |               |            |       |          |                               |
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| EXAMINER<br>INITIALS | DOCUMENT<br>NUMBER | PUBLICATION<br>DATE | COUNTRY OR<br>PATENT OFFICE                                 | CLASS | SUBCLASS | TRANSLATION |    |
|----------------------|--------------------|---------------------|---|-------|----------|-------------|----|
|                      |                    |                     |   |       |          | YES         | NO |
|                      | DE 19506324 C1     | 10/1995             | Germany<br>(English family patent,<br>U.S. 5,828,589 above) |       |          |             |    |
|                      | DE 3723778 A1      | 1/1988              | Germany<br>(English family patent,<br>U.S. 4,818,903 above) |       |          |             |    |
|                      | EP 0393717 A2      | 10/1990             | European Patent Office                                      |       |          |             |    |

**OTHER DOCUMENTS**

| EXAMINER<br>INITIALS | Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. |
|----------------------|--|
|                      | Poole et al., "A CMOS Subscriber Line Audio Processing Circuit Including Adaptive Balance," IEEE Proceedings of the International Symposium on Circuits and Systems, US, New York, Vol. Conf. 21, 1988, pp. 1931-1934.                       |
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| EXAMINER<br>SIGNATURE |  | DATE<br>CONSIDERED | 3/20/03 |
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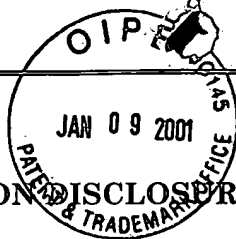
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**INFORMATION DISCLOSURE  
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| <b>Attorney Docket Number</b> | <b>33758/RJP/B600</b>      |
| Application Number            | 09/438,689                 |
| Filing Date                   | November 12, 1999          |
| Applicant(s)                  | Christopher M. Ward et al. |
| Group Art Unit                | 2817                       |
| Examiner Name                 | To be assigned             |

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| EXAMINER<br>INITIALS | DOCUMENT<br>NUMBER | ISSUE<br>DATE | PATENTEE       | CLASS | SUBCLASS | FILING DATE<br>IF APPROPRIATE |
|----------------------|--------------------|---------------|----------------|-------|----------|-------------------------------|
| <i>[Signature]</i>   | 5,006,727          | 4/1991        | Rogosch et al. | 307   | 264      |                               |
| <i>[Signature]</i>   | 5,587,688          | 12/1996       | Mulbrook       | 330   | 253      |                               |
|                      |                    |               |                |       |          |                               |
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| EXAMINER<br>INITIALS | DOCUMENT<br>NUMBER | PUBLICATION<br>DATE | COUNTRY OR<br>PATENT OFFICE                             | CLASS | SUBCLASS | TRANSLATION |    |
|----------------------|--------------------|---------------------|---|-------|----------|-------------|----|
|                      |                    |                     |   |       |          | YES         | NO |
| <i>[Signature]</i>   | EP 0 365 085       | 4/1990              | EPO<br>(English family patent,<br>U.S. 5,006,727 above) |       |          |             |    |
| <i>[Signature]</i>   | WO 97/32393        | 9/1997              | PCT   |       |          |             |    |
|                      |                    |                     |   |       |          |             |    |
|                      |                    |                     |   |       |          |             |    |

**OTHER DOCUMENTS**

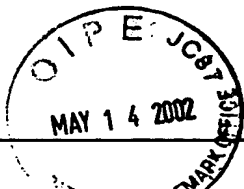
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|---|----------------------------------|-------------------------------|
| FORM PTO-1449<br>Fifth Supplemental<br>INFORMATION DISCLOSURE STATEMENT | ATTY. DOCKET NO.<br>1875.095000C | APPLICATION NO.<br>09/438,689 |
|   | APPLICANTS<br>Ward et al.        |                               |
|   | FILING DATE<br>November 12, 1999 | GROUP<br>2817                 |

| U.S. PATENT DOCUMENTS |     |                 |         |                    |       |           |             |
|-----------------------|-----|-----------------|---------|--------------------|-------|-----------|-------------|
| EXAMINER<br>INITIAL   |     | DOCUMENT NUMBER | DATE    | NAME               | CLASS | SUB-CLASS | FILING DATE |
| <i>W</i>              | AA1 | 3,824,491       | 07/1974 | Ronald L. Treadway | 331   | 109       | 01/19/73    |
|                       | AB1 |                 |         |                    |       |           |             |
|                       | AC1 |                 |         |                    |       |           |             |
|                       | AD1 |                 |         |                    |       |           |             |
|                       | AE1 |                 |         |                    |       |           |             |
|                       | AF1 |                 |         |                    |       |           |             |
|                       | AG1 |                 |         |                    |       |           |             |
|                       | AH1 |                 |         |                    |       |           |             |
|                       | AI1 |                 |         |                    |       |           |             |
|                       | AJ1 |                 |         |                    |       |           |             |
|                       | AK1 |                 |         |                    |       |           |             |

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| EXAMINER<br>INITIAL      |     | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUB-CLASS | TRANSLATION |
|                          | AL1 |                 |      |         |       |           | Yes<br>No   |
|                          | AM1 |                 |      |         |       |           | Yes<br>No   |
|                          | AN1 |                 |      |         |       |           | Yes<br>No   |
|                          | AO1 |                 |      |         |       |           | Yes<br>No   |
|                          | AP1 |                 |      |         |       |           | Yes<br>No   |

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

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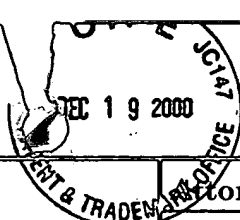
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Attorney Docket Number

33758/RJP/B600

Application Number

09/438,689

Filing Date

November 12, 1999

Applicant(s)

Christopher M. Ward, et al.

Group Art Unit

2817

Examiner Name

To be assigned

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|----------------------|--------------------|---------------|---------------|-------|----------|-------------------------------|
|                      | 4,903,329          | 2/1990        | Marik et al.  | 455   | 182      |                               |
|                      | 5,428,829          | 6/1995        | Osburn et al. | 455   | 197.1    |                               |
|                      |                    |               |               |       |          |                               |
|                      |                    |               |               |       |          |                               |
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|----------------------|--------------------|---------------------|-----------------------------|-------|----------|-------------|----|
|                      |                    |                     |                             |       |          | YES         | NO |
|                      | WO 97/09786        | 3/1997              | WIPO                        |       |          |             |    |
|                      | EP 0 431 887       | 6/1991              | EPO                         |       |          |             |    |
|                      |                    |                     |                             |       |          |             |    |
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**OTHER DOCUMENTS**

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